



Baseline Assessment Project

Dear Colleague,

The Arms Trade Treaty Baseline Assessment Project (ATT-BAP) is currently undertaking a research project to assist States with reporting in accordance with Arms Trade Treaty (ATT) commitments. The project is funded by the United Nations Trust Facility Supporting Cooperation on Arms Regulation (UNSCAR), as well as the Governments of Australia, Finland, the Netherlands, Switzerland, and the United Kingdom. As part of this work, ATT-BAP is conducting a questionnaire on States' views toward ATT reporting obligations. Information on national reporting collected by ATT-BAP will be shared with participants of the ATT Working Group on Transparency and Reporting and the Third Conference of States Parties to support reporting efforts.

Specifically, the questionnaire covers ATT reporting for (a) the initial report on measures undertaken to implement the ATT and (b) the annual report on actual or authorized imports and exports of items included in the scope of the ATT.

The attached questionnaire invites you to present your views on the process of compiling and submitting these two types of ATT reports. We kindly request that you complete the questionnaire to assist current and future efforts to support States in meeting their treaty obligations. All completed questionnaires will remain confidential. Information provided in questionnaires will be aggregated and used to provide examples of good practice or particular challenges without identifying the respondent.

Please return completed electronic copies of the questionnaire to: att@stimson.org
Electronic copies are available at: att@stimson.org or for download at www.armstrade.info

Completed hard copy questionnaires can be returned to
Rachel Stohl
The Stimson Center, 1211 Connecticut Ave. NW, 8th Floor
Washington, DC 20036 USA
Fax: +1 202 238 9604

If you have any questions about this questionnaire, please feel free to contact us for further information at att@stimson.org or by phone at +1 202 464 2679.

Rachel Stohl
Paul Holtom



REPORTING QUESTIONNAIRE

Please enter your answers in the text boxes below

HAVE NOT COMPLETED ANNUAL REPORT

State

INFORMATION OF PERSON COMPLETING QUESTIONNAIRE

Name

Position

Department

Ministry/Agency/Organization

Telephone

Email

Date of Submission

Part B – Annual Report on Arms Exports and Imports

I. Responsibility

1. Has a government ministry or agency been designated as responsible for preparing your State's annual report?

Yes No

If yes, please indicate which ministry or agency

- Customs Ministry of Defense Ministry of Economy
 Ministry of Finance Ministry of Foreign Affairs Ministry of Interior

Other (please specify below)

II. Reasons for Non-Reporting

2. Why has your State not submitted an annual report? *Please select all that apply.*

- Lack of time Lack of capacity Lack of resources
 Availability of information Preparing statistical data in accordance with ATT categories
 Concerns relating to the release of information regarded as sensitive
 Opposition to the content of the reporting instrument

Other (please specify)

3. Has your State developed a process or mechanism to help overcome these challenges?
If yes, please specify.

Yes No

4. Does your State plan to submit an annual report next year? *If no, please specify why not.*

Yes

No

III. Reporting on International Arms Transfers

5. Does your State prepare a national report on international transfers of conventional arms for another international or regional instrument (e.g. UN Register)? *If yes, please specify which other instrument(s) and answer question 6. If no, please go directly to question 7.*

Yes

No

Other Instruments:

6. Why did your State not provide this information for its ATT annual report?

7. How does your State prefer to submit an annual report on arms transfers? *Please select all that apply.*

Hard copy via post

Electronic copy via email

Via an online interface for reporting

Other (please specify)

8. Other comments regarding the process for preparing an annual report?

IV. Awareness and Use of Guidance and Assistance

9. Would it be helpful to have guidance on how to prepare your State's annual report?

Yes

No

10. Would your State use online guidance to help prepare your State's annual report?

Yes

No

11. Is your State aware of tools or guidance that can assist in the preparation of your State's annual report (e.g. for the UN Register)? *If yes, please specify.*

Yes

No

12. Are there any other types of technical, administrative, or financial support services that would help to facilitate the preparation of your annual report? *If yes, please specify. Please select all that apply.*

Technical

Yes

No

Administrative

Yes

No

Financial

Yes

No

V. Other Comments

13. Please provide any additional information which you think may be of relevance for this questionnaire.
